

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF INDIANA  
HAMMOND DIVISION (LAFAYETTE)**

THE LAFAYETTE LIFE INSURANCE COMPANY,  
MERCY RIDGE, INC., AMERICAN BANK, and all  
others similarly situated,

Plaintiffs,

v.

CITY OF MENASHA, WISCONSIN, a municipal  
corporation, MENASHA UTILITIES, MENASHA  
STEAM UTILITY, and RBC CAPITAL MARKETS  
CORP. f/k/a RBC DAIN RAUSCHER, INC.,

Defendants.

Case No. 4:09-cv-00064-TLS-APR

**NOTIFICATION OF SETTLEMENT OF CLASS ACTION**

Pursuant to Local Rule 16.1(g), Lead Plaintiffs The Lafayette Life Insurance Company, Mercy Ridge, Inc., and American Bank (collectively the "Lead Plaintiffs," both individually and on behalf of the putative class in this class action), hereby notify the Court that on February 17, 2011, the parties, Lead Plaintiffs and Defendants City of Menasha, Wisconsin, Menasha Utilities, Menasha Steam Utility, and RBC Capital Markets Corp. f/k/a RBC Dain Rauscher, Inc., agreed to a preliminary settlement of this lawsuit, subject to the execution of a definitive Settlement Agreement and to the Court's approval of procedures to secure the prompt, complete, and final dismissal of this class action. Lead Plaintiffs, by counsel, notify the Court of such "reasonably anticipated settlement" as required by Local Rule 16.1(g).

Respectfully submitted,

ICE MILLER LLP

*/s/ Michael A. Wukmer*  
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**CERTIFICATE OF SERVICE**

I hereby certify that on February 18, 2011, a copy of the foregoing document was filed electronically. Notice of this filing will be sent to the following parties by operation of the Court's electronic filing system. Parties may access this filing through the Court's system.

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I further certify that a copy of the foregoing has also been served upon the following, via first-class U.S. mail on the following:

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